



HONG KONG INVESTMENT FUNDS ASSOCIATION - THE FIRST ANNUAL CONFERENCE

8:30 am – 2:00 pm, Monday, September 10, 2007
Ballroom, The Ritz Carlton, Hong Kong



With highlights and discussions on:

*Building on Hong Kong's position as a Leading Asset Management Centre
The Regulatory Framework for the Asset Management Industry in Hong Kong
Trends in the Global Fund Industry
Fund Distribution Models in Hong Kong
Fund Distribution in the Mainland*

Endorsing Organizations



Office of the
Commissioner of Insurance



For Further Enquiry and Registrations
Courses & Seminars Limited

Tel. (852) 2901-1333

Email: enquiry@courses-seminars.com

HKIFA – The First Annual Conference

September 10, 2007

This Conference is designed to provide a platform for HKIFA to identify key trends and developments (including opportunities and challenges) facing the Hong Kong asset management industry, to set the agenda for the industry and to shape its future direction, as well as to put forward proposals for key initiatives that the HKSAR Government can undertake to reinforce Hong Kong's position as a key asset management centre.

Register Now!!

Please complete the registration form and fax to C&S at (852) 2901-1332 for reservation.

Who Should Attend

- Accountants
- Asset Allocators
- Attorneys
- Brokers
- CEOs, CFOs, CIOs, Presidents, Directors
- Compliance Officers
- Corporate Counsels
- Corporate Treasurers
- Custodians & Administrators
- Dealers
- Directors of Fixed Income/Equity
- Directors of Investment Research
- Distributors
- Endowments/Foundation
- Finance Directors
- Financial Planners
- Fund Analysts
- Fund Managers
- Funds Controllers
- Institutional Sales
- Investment Advisors
- Investment Consultants
- Investment Officers
- Investment Representatives
- Legal Practitioners
- Offshore Funds/Trust Managers
- Pension Fund Advisors
- Pension Fund Managers
- Pension Fund Trustees
- Portfolio Managers
- Prime Brokers
- Private Bankers
- Product Managers
- Regulators
- Tax Analysts
- Trustees
- Wealth Managers

Our Distinguished speakers:

- Mr. John C. Tsang** (Luncheon Keynote Speaker)
The Financial Secretary, The Government of Hong Kong SAR
- Mr. Martin Wheatley** (Morning Keynote Speaker)
Chief Executive Officer, Securities & Futures Commission Hong Kong
- Dr. Sun Jie**
Senior Vice President – China Affairs, Securities & Futures Commission Hong Kong
- Mr. Duncan Abate**
Partner, Johnson Stokes & Master
- Mr. Bob Charles**
Managing Director, Head of Employee Benefits Consulting, Watson Wyatt Asia-Pacific
- Ms. Cindy Fu**
Head of Investment, Wealth Management, Standard Chartered Bank (Hong Kong) Limited
- Mr. Eric Fu**
Director and Head of Institutional Business, Hong Kong and China, Fidelity Investments Management (Hong Kong) Limited
- Mr. Patrick Ho**
Managing Director, iFast Financial (Hong Kong) Limited
- Mr. Taylor Hui**
Partner, Deacons
- Ms. Ivy Lai**
Director, External Relations, Securities & Futures Commission Hong Kong
- Mr. Bruno Lee**
Head of Wealth Management, Personal Financial Services Hong Kong, HSBC
- Ms. Rosita Lee**
Senior Manager, Head of Investment Funds Business, Hang Seng Bank
- Ms. Teresa Leung**
Senior Vice President, Investment Sales, Sales & Distribution, Consumer Banking, DBS Bank (Hong Kong) Limited
- Mr. Bernard Lo**
Anchor, Bloomberg Television
- Mr. David Lui**
Chief Executive Officer, Bank of Communications Schroder Fund Management Co. Ltd.
- Mr. Darren McShane**
Executive Director (Regulation & Policy Division), Mandatory Provident Fund Schemes Authority
- Mr. Charles Qin**
Co-Founder, Llinks Law Offices, PRC
- Mr. John Spence**
Former Senior Vice President Regional Development, Asia, Manulife Financial
- Mr. Shiv Taneja**
Managing Director and Head of International Research, Cerulli Associates, Singapore
- Ms. Eleanor Wan**
Chief Executive Officer, Allianz Global Investors Hong Kong Limited

HKIFA – The First Annual Conference

September 10, 2007

Programme

08:30 Registration

08:45 Opening Remarks

Elisabeth Scott, *Chairman*, Hong Kong Investment Funds Association

09:00

The Regulatory Framework for the Asset Management Industry in Hong Kong: Challenges and Opportunities

Martin Wheatley, *Chief Executive Officer*, Securities & Futures Commission Hong Kong

09:35

Development of the Fund Industry in the Mainland

Sun Jie, *Senior Vice President – China Affairs*, Securities & Futures Commission Hong Kong

10:10

Trends in the Global Fund Industry – the Asian Dimension

Shiv Taneja, *Managing Director and Head of International Research*, Cerulli Associates, Singapore

10:45 Coffee Break

11:00

Panel Discussion – Fund Distribution Models in Hong Kong

Moderator

Bernard Lo, *Anchor*, Bloomberg Television

Panelists

Patrick Ho, *Managing Director*, iFast Financial (Hong Kong) Limited

Bruno Lee, *Head of Wealth Management, Personal Financial Services Hong Kong*, HSBC

John Spence, *Former Senior Vice President Regional Development, Asia*, Manulife Financial

11:45

Breakout Session 1

Fund Distribution in the Mainland and the Challenges and Opportunities Faced by Foreign Fund Managers in Developing the Mainland Fund Market

Moderator

Bonnie Lam, *HKIFA Regulatory Subcommittee chairman*

Panelists

Taylor Hui, *Partner*, Deacons

David Lui, *Chief Executive Officer*, Bank of Communications Schroder Fund Management Co. Ltd

Charles Qin, *Co-Founder*, Llinks Law Offices, PRC

Breakout Session 2

Development and Review of the MPF Regulatory Framework

Moderator

Ken Tam, *HKIFA Pensions Subcommittee chairman*

Panelists

Duncan Abate, *Partner*, Johnson Stokes & Master

Bob Charles, *Managing Director, Head of Employee Benefits Consulting*, Watson Wyatt Asia-Pacific

Darren McShane, *Executive Director (Regulation & Policy Division)*, MPFA

Breakout Session 3

First part – Investor Education

Moderator

Eric Fu, *HKIFA Unit Trust Subcommittee chairman*

Panelists

Cindy Fu, *Head of Investment, Wealth Management*, Standard Chartered Bank (Hong Kong) Limited

Ivy Lai, *Director, External Relations*, Securities & Futures Commission Hong Kong

Eleanor Wan, *Chief Executive Officer*, Allianz Global Investors Hong Kong Limited

Second part – Relationships between Distributors and Fund Managers

Moderator

Eleanor Wan, *HKIFA Unit Trust Subcommittee vice-chairman*

Panelists

Eric Fu, *Director and Head of Institutional Business, Hong Kong and China*, Fidelity Investments Management (Hong Kong) Limited

Rosita Lee, *Senior Manager, Head of Investment Funds Business*, Hang Seng Bank

Teresa Leung, *Senior Vice President, Investment Sales, Sales & Distribution, Consumer Banking*, DBS Bank (Hong Kong) Limited

12:45

Report by Moderators of the Respective Sessions and Closing Remarks by the Chairman of HKIFA

13:00

Luncheon Talk - Building on Hong Kong's Position as a Leading Asset Management Centre

John C. Tsang, *The Financial Secretary*, The Government of Hong Kong SAR

HKIFA – The First Annual Conference

September 10, 2007

Speakers (in alphabetical order)

Luncheon Keynote Speaker



John C. Tsang
The Financial Secretary
The Government of Hong Kong SAR

Mr. Tsang worked in the Boston Public Schools prior to joining the Hong Kong civil service in November 1982. As a member of the Administrative Service, he served in a number of posts, including Administrative Assistant to the Financial Secretary (1987-91), Assistant Director-General of Trade (1991-95) and Private Secretary to the Governor from 1995 to June 1997.

Mr. Tsang served following reunification in a number of different capacities, including Director-General of the London Economic and Trade Office (1997-99), Commissioner of Customs and Excise (1999-2001), Secretary for Planning and Lands and Permanent Secretary for Housing, Planning and Lands (Planning and Lands) (2001-03).

Mr. Tsang was appointed Secretary for Commerce, Industry and Technology in August 2003, and with the successful conclusion of the World Trade Organization Ministerial Conference in Hong Kong that he chaired in December 2005, he was appointed Director of the Chief Executive's Office in January 2006. He was appointed Financial Secretary in July 2007.

Morning Keynote Speaker



Martin Wheatley
Chief Executive Officer
Securities & Futures Commission Hong Kong

Mr. Wheatley became the executive Chairman on 1 October 2005. With the commencement of the Securities and Futures (Amendment) Ordinance 2006, which amends the SFO to provide for the separation of the role of the Chairman and Chief Executive, Mr. Wheatley became the first CEO of the SFC on 23 June 2006.

Prior joining the SFC, Mr. Wheatley was Deputy Chief Executive of the London Stock Exchange (LSE).

Mr. Wheatley was also Chairman of the FTSE International and sat on the Listing Advisory Committee of the Financial Services Authority (FSA) in London.

Mr. Wheatley qualified as an accountant in 1984, has a Masters degree in Business and a first degree in Philosophy.

Other Speakers (in alphabetical order)



Duncan Abate
Partner
Johnson Stokes & Master

Mr. Abate is admitted as a Solicitor in England and Wales and Hong Kong. He advises on all aspects of employment and employee benefits law and regulation. He also has extensive experience in advising on the establishment, maintenance and restructuring of occupational retirement schemes and mandatory provident fund schemes, as well as related documentation and services. He is the Chairman of the Employment Law Committee and Retirement Scheme Committee of The Law Society of Hong Kong. He is also a member of the Guidelines Committee of Mandatory Provident Fund Schemes Authority.

Mr. Abate is voted as one of the 25 top Labour and Employment Lawyers in the world by the Best of the Best 2005 published by International Financial Law Review. He is also listed as leading lawyer in Hong Kong in the area of Employment in the 2006/07 edition of Asia Pacific Legal 500.



Bob Charles
Managing Director, Head of Employee Benefits Consulting
Watson Wyatt Asia-Pacific

Mr. Charles is the head of employee benefits consulting at Watson Wyatt in Asia-Pacific and the Managing Director of Watson Wyatt Hong Kong. He advises multinational companies on the design, financing and governance of their retirement plans in the region with a particular focus on Greater China, in which Watson Wyatt has 6 offices.

Mr. Charles joined Watson Wyatt in 1987. He worked in London as the actuary to several of Watson Wyatt's major UK pension fund clients. From 2001 to 2003 Mr. Charles worked in India following Watson Wyatt's acquisition of India's largest actuarial consulting firm.

Mr. Charles is a frequent speaker at conferences throughout Asia on demographic change and pension reform in the region. He is a Fellow of the Institute of Actuaries (UK) and holds a first class honours degree in mathematics from Oxford University.



Cindy Fu
Head of Investment, Wealth Management
Standard Chartered Bank (Hong Kong) Limited

Ms. Fu oversees all retail investment products and Retirement Services. She is responsible for product development including Premium Deposit, FX Margin Trading, Debt Securities, Securities Services, Structured Products and Unit Trust. She also contributes articles on treasury products and market analysis to various local newspapers and magazines.

Ms. Fu has more than 15 years of experience in the treasury market. Prior to joining Standard Chartered Bank, she held senior positions in Citibank and Bankers Trust Company.

Ms. Fu holds a degree majoring in Psychology from the University of Manitoba, Canada.



Eric Fu
Director and Head of Institutional Business, Hong Kong and China
**Fidelity Investments Management (Hong Kong) Limited, and
HKIFA Unit Trust Subcommittee chairman**

Mr. Fu is Director and Head of Institutional Business for Fidelity in Hong Kong and mainland China. He leads the Institutional Sales and Relationship Management team in Hong Kong and is responsible for the sales and relationship management of the Defined Benefit and Defined Contribution clients. Mr. Fu will also oversee the expansion and development of the company's Qualified Domestic Institutional Investor (QDII) business channel.

Mr. Fu has accumulated more than 16 years of experience in the fund management and distribution industry. Prior to joining Fidelity, he worked as Vice President of Investment Funds at BNP Paribas Asia Limited. He is chairman of the Unit Trust Subcommittee and executive member of the Hong Kong Investment Funds Association.



Sun Jie
Senior Vice President – China Affairs
Securities & Futures Commission Hong Kong

Dr. Sun was previously the General Director of Department of Investment Fund Supervision and Department of International Cooperation of CSRC; Economic/Social Dept. of Permanent Mission of the People's Republic of China to the United Nations in NY. He worked successively as division director, deputy director-General of the Ministry of Foreign Trade and Economic Cooperation (now the Ministry of Commerce of PRC) and the Center of Economic and Technological Exchange under the Ministry of Commerce of PRC.

Dr. Sun has a PhD in Economics from Peking University and an MPA from Harvard University. His major publications include "The Foundation of Fund Management Business – Fiduciary Duty", Coauthor *China Securities Journal*, 2007-5; "Institutional Investors Development and China's Financial Restructure", *China Finance Magazine*, 2006-10 and many more.



Mr. Patrick Ho
Managing Director
iFast Financial (Hong Kong) Limited

Mr. Patrick Ho is Managing Director of iFAST Financial Hong Kong, responsible for the overall running of the business in the SAR. Mr. Ho joined iFAST Financial Singapore in 2000 as Head of IT and was one of the pioneers who led the development of the fundsupermart.com website. He was the Chief Technological Officer of the corporation before taking up the Hong Kong appointment.

Prior to joining iFAST Financial, Mr. Ho was with Singapore Network Services where he specialized in E-commerce projects in the Medical, Insurance, Finance and Trade sectors.

Mr. Ho holds a Bachelor of Applied Science (Computer Engineering) degree from Nanyang Technological University in Singapore. He is currently a Type 1 (Dealing) and Type 4 (Investment Advisory) Responsible Officer for iFAST Financial Hong Kong.



Taylor Hui
Partner
Deacons

Mr. Hui regularly advises foreign fund managers on China related issues, QFIIs, QDIIs, China A-share funds, setting up joint ventures (both greenfield and acquisition projects) in China. He is a member of the China Committees of AIMA and the Hong Kong Retirement Schemes Association. Mr. Hui's extensive experience includes establishment of retail mutual funds and unit trusts in Hong Kong and in offshore jurisdictions, authorization of funds for public sale and advising on regulatory issues.

Mr. Hui is a Partner of Deacons' Financial Services Practice Group, a leading practice dedicated to advising fund managers, trustees and custodians, insurance companies and retirement schemes in Asia. The Group (comprises nearly 30 legal advisers) was recognised in the Asia Pacific Legal 500 (2001 through 2007 editions) as the sole top-tier law practice for Unit Trusts and Investment Funds in Hong Kong. The Group has extensive experience in establishing retail and institutional funds and other investment products.



Ivy Lai
Director, External Relations
Securities & Futures Commission Hong Kong

Ms. Ivy Lai graduated with honours in Economics and Management Studies from the University of Hong Kong. She is elected Associate of the Chartered Institute of Bankers, England and an accredited Certified Investment Manager by the Canadian Securities Institute.

Ms. Lai was a practitioner in various financial sectors in Canada and Hong Kong before joining the Securities and Futures Commission, Hong Kong in 1996 to set up and head its Investor Education & Communications Department responsible for conducting investor surveys, launching investor education and alert programmes and handling investor enquiries and complaints. She is now Director of the SFC's External Relations Department which also covers the SFC's investor education work.

Ms. Lai has been appointed by the Hong Kong Government to advise on the secondary school curriculum reform.



Bonnie Lam
HKIFA Regulatory Subcommittee chairman

Ms. Lam is Director, Head of Fund Marketing of HSBC Investments (Hong Kong) Limited. She is responsible for developing the wholesale business as well as implementing the sales and marketing strategies of retail fund distribution. Ms. Lam joined HSBC Investments in 1999 as Associate Director, Retail Business and was appointed to her current position in 2005.

Prior to joining HSBC Investments, Ms. Lam was Vice President at Citibank for the period 1993 - 1999. She oversaw the retail investment business and responsible for the formulation and execution of business strategies.

Ms. Lam started her career in Gartmore Fund Management Limited in 1988. She also actively involves in the development of fund investment in Hong Kong, and is currently a member of the Executive Committee of the Hong Kong Investment Funds Association.

HKIFA – The First Annual Conference

September 10, 2007



Bruno Lee
Head of Wealth Management, Personal Financial Services Hong Kong
HSBC

Mr. Lee has overall responsibility for developing and implementing product strategies and propositions of all wealth management services, including investment and insurance, for HSBC in Hong Kong.

Mr. Lee graduated in 1990 from the University of Calgary, Canada, where he majored in Economics. He joined HSBC in Hong Kong in the same year to work in personal banking. From 1991 to 2003, he worked for Fidelity Investments in Hong Kong, the USA and Taiwan, focusing on retail fund distribution and retirement business. He was Chief Executive Officer for INVESCO Taiwan Limited in 2004 and 2005 before taking up the current position with HSBC in April 2006.



Rosita Lee
Senior Manager, Head of Investment Funds Business
Hang Seng Bank

Ms. Lee joined Hang Seng Bank in 1996. In her role as the Senior Manager at Hang Seng Bank, Ms. Lee oversees the investment fund business and has an in-depth understanding on various investment needs of clients ranging from personal to institutional investors. Her primary responsibilities cover multiple functions that combine fund manager selection, product due diligence, service development and distribution support. In addition, Ms. Lee also acts in the capacity as the Director and Head of Investment Products of Hang Seng Investment Management Limited, a wholly owned subsidiary of Hang Seng Bank Limited. She is responsible for product development and administration, marketing and sales development of the company. Ms. Lee has over 17 years of experience in financial industry, focuses mainly on product development, sales and marketing areas.

Ms. Lee received her Bachelor of Social Sciences from the University of Hong Kong. She is also a CFP[™] certificant.



Teresa Leung
Senior Vice President, Investment Sales, Sales & Distribution,
Consumer Banking
DBS Bank (Hong Kong) Limited

Ms. Leung joined DBS Bank in 2000. She is currently the Senior Vice President of Sales & Distribution, Consumer Banking of DBS Bank (Hong Kong) Limited. She is responsible for the channel management and product selection of investment funds and treasury investment products for the bank network. She has over 15 years of experience in the wealth management industry at various financial institutions both in HK and overseas.

Ms. Leung received her Bachelor of Social Sciences from the University of Hong Kong.



Bernard Lo
Anchor
Bloomberg Television

Mr. Lo is an experienced television host and anchor, with nearly 18 years of broadcasting credentials. Mr. Lo is based in Hong Kong and anchors Bloomberg Television's pan-regional primetime morning business and financial news show, Bloomberg Live. He also hosts Asia's only daily regional talk show, Bloomberg Voices.

Mr. Lo is a well-recognized veteran of Asia-Pacific regional television. Prior to joining Bloomberg Television in 2004, he was the chief stocks editor, anchor and talk show host at CNBC Asia for nearly ten years. Previously, Mr. Lo held a variety of on-air positions with Singapore Broadcasting, TVB and ATV.

Mr. Lo holds a bachelor's degree in liberal arts from The Evergreen State College, in Olympia, Washington, and a master of sciences degree in fine arts administration from the University of Moscow, Idaho.



David Lui
Chief Executive Officer
Bank of Communications Schroder Fund Management Co. Ltd.

Mr. Lui was appointed the current role in 2005. He was the Head of Schroders' business in China, participated in the Drafting Symposium of PRC Investment Fund Law and was a member of the China Securities Regulatory Commission (CSRC) Open-ended Fund Overseas Expert Committee. He joined Schroders in 1985 to manage unit trusts and institutional funds including Schroders Asian and HK Fund.

Mr. Lui has had over 20 years experience in investment management. He was a director of Schroders Asia Limited in 1991 and Vice Chairman and Executive Director of Schroder Investment Management (Hong Kong) Limited in 2000.

Mr. Lui is a graduate of the London School of Economics with a post-graduate diploma from the same institution. He is also a fellow of the Canadian Securities Institute and the Hong Kong Securities Institute.



Darren McShane
Executive Director (Regulation & Policy Division)
Mandatory Provident Fund Schemes Authority

Mr. McShane has been an Executive Director of the Mandatory Provident Fund Schemes Authority since 2002. He currently heads the Regulation and Policy Division, which is responsible for investment regulation, policy development, research and legal services. Prior to his work at the MPFA, he held various senior roles with the Australian Securities and Investments Commission (ASIC) in the financial services area. He has also worked with the Investment Management Regulatory Organisation Ltd. (IMRO), the former fund management regulator in the United Kingdom. Prior to his regulatory roles he practised as a Barrister in Australia.



Charles Qin
Co-Founder
Llinks Law Offices

Mr. Qin earned his LLB from Fudan University School of Law in 1990. From 1997 to 1998, he undertook a Practical Training Scheme sponsored by the British Government, and was trained at Linklaters with its London and Hong Kong offices. In 1993 CSRC and the Ministry of Justice licensed Mr. Qin to practice PRC securities law. In 1998, Charles Qin co-founded Llinks Law Offices. Mr. Qin has 17 years of experience in capital markets, mutual funds, corporate, M&A, financial services investments, and banking and finance. Mr. Qin is active in China's mutual funds market. Since 2001, Mr. Qin has advised on the offering of over 20 mutual funds, including the first open-ended fund, the first index fund and the first money market fund in China and on the establishment of seven joint venture fund management companies.



Elisabeth Scott
Chairman
Hong Kong Investment Funds Association

Ms. Scott is Managing Director at Schroder Investment Management (Hong Kong) Ltd. Having worked in the Scottish fund management industry since 1985, she joined Schroders in Hong Kong in 1992, initially as a US equity fund manager, then as a fund manager of multi-asset portfolios. Before being appointed to her current role in June 2005, she was responsible for Schroders Institutional Business in Hong Kong. Elisabeth is Chairman of the Hong Kong Investment Funds Association, a member of the Hong Kong Deposit Protection Board and chairs The Women's Foundation of Hong Kong.



John Spence
Former Senior Vice President Regional Development, Asia
Manulife Financial

Across Asia, Mr. Spence had responsibility for Manulife's merger and acquisition initiatives, the development of wealth management business lines and the fostering of relationships with alternative distribution channels. In addition Mr. Spence had regional office oversight on John Hancock Tianan Life Insurance Company – Manulife's second Chinese life insurance joint venture.

In Asia, Mr. Spence regional responsibilities have previously included Manulife's operations in Malaysia and Thailand and the Wealth Management business in Hong Kong.

Mr. Spence holds a Bachelor's degree in Economics from the University of Sydney, a Master's degree of Business Administration from the Australian Graduate School of Management, University of New South Wales. He is a Chartered Accountant, a Chartered Secretary and a Certified Financial Planner.



Ken Tam
HKIFA Pensions Subcommittee chairman

Mr. Tam is the JPMAM's Head of Hong Kong and China. He is responsible for the asset management business in Hong Kong and China excluding the China joint venture. He joined the firm in 1994 and has been a member of the senior management team in Hong Kong for several years. Immediately prior to taking up his current position, Mr. Tam was Head of Business and Operations – North Asia. He has also had various roles in the finance division of the asset management business, including regional Chief Financial Officer. Prior to joining the firm Mr. Tam worked with PriceWaterhouse in Hong Kong. He obtained a Professional Diploma in Company Secretaryship and Administration from the Hong Kong Polytechnic University. In addition, Mr. Tam is an associate member of the Hong Kong Institute of Certified Public Accountants, the Hong Kong Institute of Chartered Secretaries and the Institute of Chartered Secretaries and Administrators, and a fellow member of the Association of Chartered Certified Accountants.



Shiv Taneja
Managing Director and Head of International Research
Cerulli Associates

Mr. Taneja joined Cerulli Associates in London in October 2000 to help establish the firm's international (non-U.S.) operations covering both European and Asian mutual fund markets during this time. In December 2004, he relocated to Singapore to set up the firm's regional office.

Mr. Taneja has analyzed mutual fund markets for the past 15 years. Mr. Taneja also serves on Cerulli Associates' executive research committee which has oversight for all of the firm's research, both in the United States as well as internationally. Prior to joining Cerulli Associates, Mr. Taneja worked in mutual fund research at Standard & Poor's in London.

Cerulli Associates, Inc is a US-based asset management research firm, with a focus on strategic advice and market research in the area of market sizing, market entry, product development and distribution analysis for the wealth management and professional buyer segment. The firm covers over 20 asset management marketplaces around the world.



Eleanor Wan
Chief Executive Officer
**Allianz Global Investors Hong Kong Limited, and
HKIFA Unit Trust Subcommittee vice-chairman**

Ms. Wan joined Allianz Global Investors (AllianzGI) in 2003, responsible for managing AllianzGI's funds business which include sales and marketing for both retail and retirement funds in Hong Kong. She was appointed Chief Executive Officer in April 2007. Before joining AllianzGI, she was with SG Asset Management as its senior vice-president in charge of fund distribution business for Greater China. Before that, she was the regional sales director for Zurich Scudder Investments (now being merged into Deutsche Asset Management) and associate director for INVESCO Asset Management. Before starting her career in the mutual fund industry, she spent over seven years in the corporate finance and development industry. Ms. Wan serves as an executive committee member of the Hong Kong Investment Funds Association since 2003. Being an active and experienced marketer in the mutual fund industry, she now serves as the vice-chairman of the Unit Trust Subcommittee of the Association in the current year and was chairman of that subcommittee in 1999.

