

# Guotai Junan Assets (Asia) Limited – Corporate Profile

## Guotai Junan – Expertise in investment; Focused on China

- Guotai Junan Securities Company Limited (“GJSCL”), the ultimate holding company of Guotai Junan Assets (Asia) Limited (“GTJAA”), has been a key participant in the development of the PRC fund industry for years.
- Leveraging on our extensive experience of investing in Greater China and the thorough understanding of the business environment in the PRC, GTJAA has established a solid foundation and sound reputation as a leading fund manager focused on the Greater China region.
- GTJAA has a proven track record in managing assets for domestic and international clients. Its team of dedicated investment professionals have extensive knowledge and a thorough understanding of the investment environment in China.
- Strong research support and availability of information provided by GTJAA's parent company, GJSCL. GJSCL is recognized as one of the leading securities companies in the PRC with strong research capability covering the China region.

### Our Services

- Discretionary Portfolio Management;
- Manage and advise on investment for funds established by the third party asset management companies; and
- Manage our proprietary fund products.

### Our Authorized Fund

**Guotai Junan Investment  
Funds-Guotai Junan Greater  
China Growth Fund**

### Our Risk Management

- **The Operation Manual**
  - ★ The Operation Manual is tailor-made for each fund according to its unique characteristics and circulated among the designated team members who must follow it for the management of funds.
  - ★ The Operation Manual covers all the necessary procedures required for the daily operation of the fund and is updated from time to time.
  - ★ Fund managers are obliged to comply with investment guidelines and restrictions of relevant funds.
- **Reports**
  - ★ Daily reports, the major tools for us to execute the risk controls in the daily operation
  - ★ and other risk related reports

**Guotai Junan Assets (Asia) Limited**

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